

05/28/02 08:48

937 223 0724

KILLWORTH ET AL



FACSIMILE COVER SHEET

TO: Customer Service

Company: U.S. Patent Office
Fax No.: 703-746-4060

FROM: Patricia L. Prior

Date: May 28, 2002

Pages: 12 (*including cover page*)

Our Docket No.: VIT 0012 PA

Transmission No.: _____

Patents, Trademarks and Related Matters
Killworth, Gottman, Hagan & Schaeff, LLP

One Dayton Centre
One South Main Street, Suite 500
Dayton, Ohio 45402-2023

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| <input type="checkbox"/> ORIGINAL WILL FOLLOW BY: | <input type="checkbox"/> EXPRESS MAIL |
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Remarks: IF YOU HAVE ANY PROBLEMS RECEIVING THIS TELECOPY MESSAGE, PLEASE CONTACT EVELYN M. SMART AT (937) 223-2050 AS SOON AS POSSIBLE. THANK YOU.

U.S. Application Serial No. 09/966,163

CONFIDENTIAL FACSIMILE COMMUNICATIONS

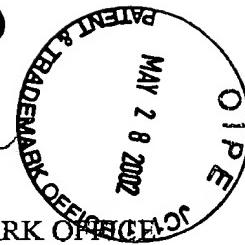
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05/28/02 09:49

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KILLWORTH ET AL

004/012866



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicant(s) : Burrows et al.
Serial No. : 09/966,163
Filed : September 28, 2001
Title : **METHOD FOR EDGE SEALING BARRIER FILMS**
Docket : VIT 0012 PA
Confirmation No. : 4083

COPY OF PAPERS
ORIGINALLY FILED

02/13/02

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on January 31, 2002.

Patricia L. Prior
Patricia L. Prior - Attorney
Reg. No. 33,758

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

RESPONSE TO NOTICE TO FILE MISSING PARTS

Pursuant to the Notice to File Missing Parts, mailed October 31, 2001 in the above-identified application, enclosed is a properly signed declaration in compliance with 37 CFR §1.63 identifying the application by the above Serial Number and Filing Date, and the return copy of the Notice.

Also enclosed is a Credit Card Payment Form (PTO-2038) in the amount of \$130.00 as set forth in 37 CFR §1.16(e).

Respectfully submitted,
**KILLWORTH, GOTTMAN, HAGAN
& SCHAEFF, L.L.P.**

By *Patricia L. Prior*
Patricia L. Prior
Registration No. 3,758

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PLP/ems
Encls.

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KILLWORTH ET AL

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UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/966,163	09/28/2001	Burrows, Paul	VIT 0012 PA

Killworth, Gottman, Hagan & Schaeff, L.L.P.
Suite 500
One Dayton Centre
Dayton OH 45402-2023

Title: Method for edge sealing barrier films

Date Mailed: 05/27/2002

Request for Substitute Papers

The papers filed on 02/13/02 (certificate of mailing dated 01/31/02) are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within two (2) weeks of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Patricia L. Prior Registration No.: 33,758

Signature: Patricia L. Prior Date: _____

05/28/02 09:49

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KILLWORTH ET AL

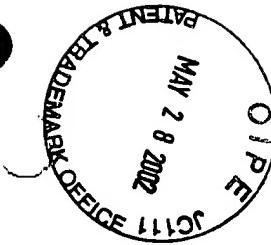
005/012

Page 1 of 1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/966,163	09/28/2001	Paul Burrows	VIT 0012 PA

Killworth, Gottman, Hagan & Schaeff, L.L.P.
Suite 500
One Dayton Centre
Dayton, OH 45402-2023

COPY OF PAPERS
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02/13/02

CONFIRMATION NO. 4083
FORMALITIES LETTER



OC000000007004593

Date Mailed: 10/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/28/02 09:51

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**COPY OF PAPER
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RILLEWORTH ET AL
07/13/01**



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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 04/31/2002, OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\$)	240.00
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Complete if Known

Application Number	09/966,163
Filing Date	September 28, 2001
First Named Inventor	Paul Burrows
Examiner Name	
Group Art Unit	1772
Attorney Docket No.	VIT 0012 PA

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	
Deposit Account Name	

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	130.00
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840 Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	110.00
116	400	216 200 Extension for reply within second month	
117	920	217 460 Extension for reply within third month	
118	1,440	218 720 Extension for reply within fourth month	
128	1,960	228 980 Extension for reply within fifth month	
119	320	219 160 Notice of Appeal	
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,280	241 640 Petition to revive - unintentional	
142	1,260	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(q)	
126	160	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	740	248 370 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249 370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279 370 Request for Continued Examination (RCE)	
169	800	169 900 Request for expedited examination of a design application	
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid			SUBTOTAL (3) (\$)
			240.00

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Signature	Registration No. (Attorney/Agent)	Telephone	Date
Patricia L. Prior	Patricia L. Prior	33,758	(937) 223-2050	January 31, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.